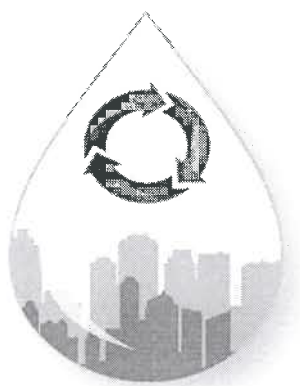


**2<sup>nd</sup> STATE LEVEL HIGH POWERED STEERING COMMITTEE  
(SHPSC)**

**ATAL MISSION FOR REJUVENATION & URBAN TRANSFORMATION  
(AMRUT 2.0)**

**AGENDA**



**Date: 05.07.2022 at 10.30 AM**

**VENUE: MEETING THROUGH VIDEO CONFERENCING**



**Local Self Government Department, Government of Kerala**

## INDEX

<b>Agenda Item No.</b>	<b>Topic</b>	<b>Page No.</b>
1	Approval of Minutes of the 1 <sup>st</sup> SHPSC Meeting of AMRUT 2.0	3
2	Action Taken Report on the Decisions of the 1 <sup>st</sup> SHPSC Meeting of AMRUT 2.0	3
3	Approval of State Water Action Plan- Water supply & SEW/Septage Sector	5
4	Operation and Maintenance (O&M) of approved projects.	7
5	Approval of Annual Capacity Building Plan	7
6	Approval of Plan of Action for Administrative and other Expenses (A&OE)	8

## **Agenda Item No.1: APPROVAL OF MINUTES OF THE 1<sup>st</sup> SHPSC**

The minutes of the 1<sup>st</sup> SHPSC meeting of AMRUT 2.0 held on 6<sup>th</sup> May 2022 is enclosed as Annexure -1.

**Decision to be Taken:** The Committee may approve the minutes of the 1<sup>st</sup> SHPSC meeting.

## **Agenda Item No.2: ACTION TAKEN REPORT ON THE DECISIONS OF THE 1<sup>st</sup> SHPSC MEETING**

### **Agenda Item No.1: Introduction to Atal Mission for Rejuvenation & Urban Transformation (AMRUT 2.0)**

#### **1<sup>st</sup> SHPSC Decision:**

- The Committee took note of the AMRUT Guidelines.
  - The Committee directed KWA to assist the ULBs for ensuring completion of CWAP filling by the end of May-2022.  
(Action: Managing Director, KWA)
  - The Committee took note of the action taken to include Kottayam Municipality under SBM-U.
- The Committee took note of the G.O issued which permits ULBs to use XV FC tied grant as ULB share.

**Action Taken:** Noted

### **Agenda Item No.2: Finalization of funding pattern for AMRUT 2.0**

#### **1<sup>st</sup> SHPSC Decision:**

- The Committee directed that availability of land has to be ensured for all projects especially for those coming under Sewerage & Septage Sector.
- The Additional Chief Secretary, LSGD shall give a note to the Revenue Department for including AMRUT & AMRUT-2.0 projects also in the proposal to the Govt. regarding delegation of the authority to the District Collectors for transfer of land. (Action: LSGD)
- The unused fund in any sector within 2 years of approval of Administrative Sanction of any ULB will be reallocated to other ULBs that require financial support.
- The Committee approved the fund allocation as follows:

#### Water Supply Sector:

- The Committee approved the funding pattern worked out for Water Supply Sector by providing weightages of 40%, 30% & 30% for service level gaps in quantity of water supplied, water supply connections & quality of water supplied respectively.
- The Committee approved the limiting of amount worked out for AMRUT ULBs to Rs.120 Cr. and the allocation of balance amount to Non-AMRUT ULBs based on their service level gaps in Water Supply Sector.
- The KWA shall prepare Water Supply Sector proposals under AMRUT-2.0 for ULBs after conducting discussions with the respective Chairpersons, Councillors & ULB officials. The KWA shall finalize the proposals based on their inputs and according to the fund allocated.

#### Sewerage & Septage Sector:

- The Committee approved the funding pattern Option-1 (Need Based Gap: 30% STP & Networks in core areas and 70% FSTP coverage in other areas) along with the Floating Incentive Fund of Rs.262.39 Cr. (difference in Central Allocation amount of Option-1, Rs.304.91 Cr. and Option-2, Rs.567.3 Cr.).
- The Committee approved the use of Floating Incentive Fund (Central Allocation amount of Rs.212.39 Cr.) for AMRUT cities for Water Supply Sector so as to achieve universal water supply. Once this is achieved balance amount if any shall be used for Sewerage & Septage Sector.
- The Committee approved the use of Floating Incentive Fund for Non-AMRUT cities (Central Allocation amount of Rs.50 Cr.) to incentivize those ULBs that show good progress in works and

for those ULBs that require additional fund for achieving universal water supply.

**Water Body Rejuvenation & Parks:**

- The Committee decided to allocate fund to ULBs on a need basis as per the DPR submitted.
- The Committee decided to enhance the fund available, if required, for ULBs that are submitting good projects.

**Action Taken:** Action initiated to prepare City Water Balance Plans of Water Supply, Sewerage/ Septage and Rejuvenation of Water Bodies.

**Agenda Item No.3: Finalization of percentage of fund allotment by State & ULB:**

**1<sup>st</sup> SHPSC Decision:**

The Committee accepted the recommendations of SLTC and decided as follows:

- For Municipalities, the balance project cost, after deducting Central Assistance shall be shared with State bearing 75% and ULB bearing 25%.
- For Municipal Corporations, the balance project cost, after deducting Central Assistance shall be shared with State bearing 50% and ULB bearing 50%.

**Action Taken:** Awaiting Government Order.

**Agenda Item No.4: Selection of State Water Start-Up Screening Committee:**

**1<sup>st</sup> SHPSC Decision:**

The Committee approved the constitution of State Water Start-up Screening Committee inclusive of CEO, Start-up Mission as Co-Chairman, KTU's Industry Interface Coordinator and representative of IIT Palakkad as shown below:

Mission Director, AMRUT	Chairman
CEO, Start-up Mission	Co-Chairman
Deputy Mission Director, AMRUT	Member
Industry Interface Coordinator, KTU	Member
Representative from Start-Up Mission	Member
Representative from IIT Palakkad	Member
Representative from KWA (EE Operations)	Member
Representative from CWRDM	Member
Representative from Ground Water Department	Member

**Action Taken:** Government Order issued.

**Agenda Item No.5: State Mission Management Unit & City Mission Management Units**

**1<sup>st</sup> SHPSC Decision:**

The Committee approved the continuation of SMMU & CMMUs for AMRUT-2.0 subject to the recommendation of Additional Chief Secretary, LSGD.

**Action Taken:** Restructuring proposal placed as an Agenda item.

**Agenda Item No.6: Selection of Project Development and Management Consultants**

**1<sup>st</sup> SHPSC Decision**

- The Committee took note of the action taken by the Govt. in entrusting the Centre for Management Development (CMD) to invite RFPs for Project Development and Management Consultant (PDMC).
- The Committee directed to check the possibility of managing SMMU, CMMUs & PDMC within the available A & OE fund. (Action: AMRUT)
- The Committee approved the constitution of Consultant Screening Committee inclusive of Chief Engineer, Sewerage Wing, KWA and Dr. N.C Narayanan, IIT Mumbai as shown below:

Mission Director, AMRUT

Chairman

Technical member, KWA	Member
Chief Engineer, LSGD	Member
Director, Suchitwa Mission	Member
Director, CMD	Member
Deputy Mission Director, AMRUT	Member
Chief Engineer, Sewerage Wing, KWA	Member
Dr. N.C Narayanan, IIT Mumbai	Member

**Action Taken:** Awaiting Government Order for the constitution of the Consultant Screening Committee.

**Agenda Item No.7: Implementation Strategy of Water Supply Works & Sewerage Works:**

**1<sup>st</sup> SHPSC Decision:**

- The Committee took note of the progress achieved so far and approved the suggestions given in the agenda regarding the role of KWA in implementing Water Supply and Sewerage & Septage projects and for the execution of tripartite Agreement between Mission Director, KWA and ULBs.
- The Committee directed to upload CWBPs & CWAPs in website after finalization.

(Action: SMMU, Director, Urban Affairs)

**Action Taken:** Noted

**Agenda Item No.8: Approval of Training Institutes for the training program and related activities under Capacity Building Program.**

**1<sup>st</sup> SHPSC Decision:**

The Committee approved the proposal to avail the services of the following training institutes for the Capacity Building Programs of AMRUT-2.0:

- 1) Indian Institute for Human Settlement (IIHS), Bangalore
- 2) Centre for Environment & Development (CED), Trivandrum
- 3) Institute of Management in Government (IMG), Trivandrum
- 4) WASH Institute, New Delhi
- 5) Kerala Institute of Local Administration (KILA), Thrissur.
- 6) CEPT (Centre for Environmental Planning & Technology) University, Ahmedabad, Gujarat

**Action Taken:** Noted

**Agenda Item No.9: Outcome based funding- Component-2: Funding at the rate of ₹ 3,000 (Rupees three thousand) per new household water tap connection.**

**1<sup>st</sup> SHPSC Decision:**

- The Committee directed LSGD/ DUA to request MoHUA to provide the incentive funding for Water Supply & Sewerage connections provided after 1<sup>st</sup> November 2021 (using non-AMRUT funds) as an additional performance incentive over and above the central allocation for the state under AMRUT-2.0, and not from the earmarked Central Allocation for the State.
- The Committee directed the Additional Chief Secretary, LSGD to give direction to KWA & ULBs regarding filling of AMRUTAM App in a timely & proper manner.

**Action Taken:** Request submitted to the ACS, LSGD

**Decision to be Taken:** The Committee may take note of the actions taken on the decisions taken in the 1<sup>st</sup> SHPSC meeting.

**Agenda Item No.3: Approval of State Water Action Plans:**

As per the AMRUT 2.0 guidelines, Urban Local Bodies are required to submit City Water Balance Plans (CWBPs) and City Water Action Plans (CWAPs) through online portal covering proposed projects in the thrust areas. City Water Action Plans (CWAPs) will comprise the list of projects proposed by the ULB in

the priority sectors of water supply, rejuvenation of water bodies including green spaces & parks for 93 ULBs and sewerage/ septage management for 9 AMRUT cities. These CWAPs will congregate at State level as State Water Action Plan (SWAP).

- SWAP comprises entire list of projects, city-wise and sector-wise, proposed to be undertaken by State.
- Cost of projects exclude cost of land acquisition but O& M for 5 years
- SWAP includes project wise number of proposed new household water tap connections, sewer connections and coverage of existing water tap and sewer connections to be augmented, which shall be outcomes of such projects.
- First tranche – 5-9 months (**March 2022- August 2022**)
- Second tranche – 12 to 16 Months (**October 2022 – February 2023**)
- Third Tranche – 24 Months (**October 2023**)
- The total outlay of AMRUT 2.0 for 93 Urban Local Bodies in Kerala is Rs.3495.06Cr. with Central Assistance of Rs.1372 Cr.

#### **WATER SUPPLY SECTOR:**

- Rs.1713.40 Cr. (CA - Rs.736.10 Cr.) is allocated for Water Supply projects for all 93 ULBs.
- Rs.100 Cr. (CA - Rs.50 Cr.) is allocated as Floating Incentive Fund for Non-AMRUT ULBs (84 ULBs) from Sewerage & Septage Sector.
- Rs.636.16 Cr. (CA - Rs.212.39 Cr.) is allocated as Floating Incentive Fund for AMRUT ULBs (9 ULBs) from Sewerage & Septage Sector which can be utilized for Water Supply Sector to achieve universal coverage. Once this is achieved balance amount if any can be used in Sewerage & Septage Sector.
- 195 projects of 77 ULBs worth Rs.1698.097 Cr. with Central Assistance of Rs.688.849 Cr. fulfilled the criteria recommended by the SLTC (*projects submitted by the ULBs that are having cost per households benefitted less than or equal to Rs.50000 and coverage achieved greater than 75%*) and the same has been included in 1<sup>st</sup> Tranche of SWAP.
- 28 ULBs have submitted proposals exceeding the allocated amount (Total Excess Cost – Rs.14.549 Cr.)
  - 0 to 10% Excess – 23 ULBs – Total Excess Cost – Rs.2.345 Cr.
  - 10 to 20% Excess – 2 ULBs – Total Excess Cost – Rs.4.863 Cr.
  - 20% & 30% Excess – 3 ULBs – Total Excess Amount – Rs.7.341 Cr.
  - The excess amount can be met from the Floating Fund of Rs.100 Cr.
- Land is to be acquired for 19 projects of 8 ULBs worth Rs.63.861 Cr. (CA – Rs.28.676 Cr.)

#### **SEWERAGE & SEPTAGE SECTOR:**

- Rs.902.16 Cr. (CA - Rs.304.91 Cr.) is allocated for Sewerage & Septage Sector for 9 AMRUT Cities.
- 23 projects of Thiruvananthapuram Corporation worth Rs.104.632 Cr. has been included in the 1<sup>st</sup> Tranche of SWAP.

The details of projects of Water Supply and Sewerage & Septage Sectors included in 1<sup>st</sup> Tranche of State Water Action Plan (SWAP) are appended as Annexure-2.

**Recommendation of 3<sup>rd</sup> SLTC:** The Committee decided to recommend the following to the SHPSC for approval:

- a) The Water Supply Sector projects submitted by the ULBs that are having cost per household benefitted less than or equal to Rs.50000 and coverage achieved greater than 75% are selected for submission to MoHUA.
- b) The Sewerage Sector projects submitted by Thiruvananthapuram except the FSTP project are selected for submission to MoHUA.

**Decision to be Taken:** The Committee may take a suitable decision based on the recommendation of the SLTC.

#### **Agenda Item No.4: Operation and Maintenance (O&M) Cost of Projects:**

As per AMRUT 2.0 guidelines, the proposals under SWAP shall be inclusive of O&M Cost but the GoI will not fund the same. The ULB can meet the O&M cost through user charges including tariff rationalization. If the levying and collection of water charges are governed by KWA, the KWA can bear the O&M cost of respective projects.

**Recommendation of 3<sup>rd</sup> SLTC:** The Committee decided to recommend the following to the SHPSC for approval:

- a) The O & M Cost for projects implemented by the ULBs shall be borne by the ULBs and the Secretaries of the ULBs shall ensure that the matter is conveyed to the Council and other related committees of the ULB.
- b) The O & M Cost for projects implemented by KWA shall be borne by KWA as they are collecting water charges and related fees from consumers.

**Decision to be Taken:** The Committee may take a suitable decision based on the recommendation of the SLTC.

#### **Agenda Item No.5: Approval of Annual Capacity Building Plan**

As per Clause-12 of AMRUT 2.0 Guidelines, Capacity Building will be taken up for all stakeholders including Elected Representatives, ULB functionaries, Contractors, Plumbers, Plant Operators, Students, Women and Citizens. Purpose of Capacity Building is to enhance the functional knowledge and improve the job-related skills of targeted groups. Capacity building plans will be submitted along with action plan for State A&OE funds. State will have to bear the expenses on capacity building through the allocated A&OE funds.

The 1<sup>st</sup> SHPSC in its meeting approved the proposal to avail the services of 6 training institutes for the Capacity Building Programs of AMRUT-2.0. Suggested targeted groups and fields of capacity building are as under:

Sl. No.	Targeted group for Capacity Building	Suggested Fields of Capacity Building
1	Elected representatives and Municipal functionaries	<ol style="list-style-type: none"><li>a. AMRUT2.0 and its Reform agenda</li><li>b. Recycle/Reuse of treated used water, Rejuvenation of Water Bodies, Rain water harvesting.</li><li>c. Project and financial management</li><li>d. E-governance and soft skills</li></ol>
2	Contractors, Managers and consultants	<ol style="list-style-type: none"><li>a. Project and financial management</li><li>b. Aspects of water &amp; sewerage infrastructure and recycle of treated used water</li></ol>
3	Plant operators, Plumbers and Workmen	<ol style="list-style-type: none"><li>a. O&amp;M of water supply &amp; sewerage networks and treatment plants</li><li>b. Aspects of plumbing and plugging the leakages.</li><li>c. RWH structures, NRW reduction,</li></ol>
4	Citizens including Women and members of SHGs	<ol style="list-style-type: none"><li>a. Water quality testing</li><li>b. Management of water demand</li><li>c. Feedbacks on functional outcomes</li></ol>
5	Town planners	<ol style="list-style-type: none"><li>a. Land monetization</li><li>b. Form based planning, local area plans and town planning scheme</li><li>c. GIS based master plans</li></ol>

States can impart capacity building in fields other than those described in the table above as per the specific requirements of the State/ ULB. International and Intra-national visits to best water managing cities can also be taken up. The Capacity Building plan has to be submitted to MoHUA along with A&OE Plan and SWAP. The Annual Capacity Building Plan in the Template developed by MoHUA is appended as Annexure-3.

**Recommendation of 3<sup>rd</sup> SLTC:** The Committee decided to recommend the Capacity Building Plan to the SHPSC.

**Decision to be Taken:** The Committee may take a suitable decision based on the recommendation of the SLTC.

**Agenda Item No.6: Approval of Plan of Action for Administrative and other Expenses (A&OE):**

A&OE will be fully funded by the GoI and shall be utilized to cover the cost of preparing CWBPs, Program Management Units (PMUs), Detailed Project Reports (DPRs), Project Implementation Units (PIUs), Project Development and Management Consultants (PDMCs), development of Aquifer Management Plans and Independent Review and Monitoring Agencies (IRMAs). It will also be used for capacity building.

3.25% of annual budget allocation will be earmarked for the State, which comes to Rs.44.59 Cr. A&OE funds will be released to the State in the beginning to kick start the Mission. So far we have received an amount of Rs.10.2 Cr.

Annual A&OE allocation to a State/ ULB will be released in two installments. First installment for the first year will be released on receipt of A&OE action plan. Second installment will be released on receipt of online claims and UC worth at least 75% of central assistance already released. In subsequent years, first installment will be released on receipt of action plan and UC worth 75% of the A&OE fund released in previous years. Eligible A&OE funds will be restricted as per proportion of actual expenditure.

State A&OE funds can be spent on following:

- Capacity building, preparation of CWBPs, Program Management/ Implementation Unit (PMU/ PIU)
- Project Development and Management Consultant (PDMC)
- State Mission Management Unit (SMMU)
- City or City cluster Mission Management Unit (CMMU)
- Preparation of Detailed Project Reports (DPRs)
- Publications like e-Newsletter, guidelines, brochures etc., promotional activities for Mission
- Display of the logo and tagline of AMRUT 2.0 prominently on all projects,
- Reform implementation

Following is the indicative (not exhaustive) list of inadmissible components under A&OE:

- Purchase of land for projects or project related works,
- Regular staff salaries of State Governments/ULBs,
- Any other purpose not oriented towards achieving Mission objectives.

A&OE action plan needs to be submitted along with the SWAPs. The A&OE Action Plan is appended as Annexure-4.

**Recommendation of 3<sup>rd</sup> SLTC:** The Committee decided to recommend the A & OE Action Plan to the SHPSC.



**Decision to be Taken:** The Committee may take a suitable decision based on the recommendation of the SLTC.

  
**Mission Director**  
**AMRUT**

  
**Principal Secretary** 1/7/22  
**Local Self Government Department**

